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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/802,194	03/17/2004	Roman Heckt	10541-1989	3418

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EXAMINER

FORD, JOHN K

ART UNIT	PAPER NUMBER
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3753

DATE MAILED: 01/25/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

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Office Action Summary	Application No.	Applicant(s)	
	10/802,194	HECKT ET AL.	
	Examiner	Art Unit	
	John K. Ford	3753	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 11/16/06
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1, 4, 5, 7 and 8 is/are pending in the application.
- 4a) Of the above claim(s) 7 & 8 is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1, 4, 5 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 11/16/05 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner *as containing new matter.*
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date <u>3-21-02</u> | 6) <input type="checkbox"/> Other: _____ |

Applicant's response of November 16, 2005 has been studied carefully.

The proposed drawing corrections have not been approved because they contain "new matter" not supported by the original disclosure. See the lack description rejection immediately below.

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 1, 4 and 5 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. The amendments made to the drawings to show entirely new and previously undisclosed walls between the right-hand set of tubes and the left-hand set of tubes in amended drawing Figures 3, 4 and 5 are not supported by anything in the original specification, original drawings or original claims. On page 2 of his remarks applicant implies that these new drawings are somehow supported by the original disclosure, there is no evidence that this is the case. If applicant disagrees, applicant is required to point out specifically where, in the original disclosure, support can be found for the addition of these new and previously undisclosed walls between the right-hand set of tubes and the left-hand set of tubes in amended drawing Figures 3, 4 and 5. The enablement rejection reproduced immediately below is deemed applicable

Art Unit: 3753

to the now amended claims based on the fact that applicant cannot rely on new matter, unsupported by the original disclosure, to overcome an enablement rejection.

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 1, 4 and 5 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention.

Regarding claims 1, 4 and 5, in the instant application it is not explained how the coolant fluid in collector region 9 flows into and out of pipes 6 in Figure 4 and then to the external connection(s) of coolant circuit 1 shown in Figure 1. In other words, if coolant enters collector region 9 from the coolant tubes 6 shown in Figure 4, where does the coolant enter those tubes? There doesn't appear to be an operative coolant circuit for the coolant disclosed with regard to the passage of coolant to and from collector region 9. If applicant maintains otherwise, please explain the precise flow path that the coolant follows from the inlet to the outlet of the collector region and why the coolant doesn't just flow through the collector region without circulating through any of the tubes 6, without the introduction of "new matter" that is unsupported by the original disclosure.

As a matter of interpretation, claims 1, 4 and 5 have been construed here to be directed to a heat exchanger, per se. There is present in the claims much functional language regarding the intended manner of operation and that has not been given weight in assessing the patentability of the heat exchanger itself, consistent with MPEP 2114, incorporated here by reference.

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1, 4 and 5 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

In claim 1, lines 10-11 there is an inconsistency in the language "a first heat exchanging surfaces". Is this a recitation of plural first heat exchanging

In addition, claims 4 and 5 depend from cancelled claim 3. For purposes of rejection claim 4 has been construed to depend from claim 1.

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1 and 4 are rejected under 35 U.S.C. 102(b) as anticipated by or, in the alternative, under 35 U.S.C. 103(a) as obvious over Makino et al (6,095,239), particularly Figures 24-27.

Figures 24-27 of Makino et al show the collector region of one multi-tubular heat exchanging circuit at least partially surrounding the collector region of another multi-tubular heat exchanging circuit. The two heat exchanging circuits are arranged one after the other in the direction of the ambient fluid over the two heat exchanging circuits. The other Figures (e.g. Figure 1) may be relevant as well, giving the limitation in claim 1 "partly surrounded" its broadest reasonable meaning.

Claim 5 is rejected under 35 U.S.C. 103(a) as being unpatentable over Makino as applied to claim 1 above, and further in view of Huggins (3,045,979).

Huggins shows two rows of staggered tubes 6, offset from one another in such a way that the front set of tubes does not "shade" the rear set of tubes with respect to the

flow of ambient air. To have configured the tubes (35 and 29) of the two heat exchanging circuits in Makino that are arranged one after the other in the direction of the ambient fluid over the two heat exchanging circuits in the offset manner taught by Huggins for the purpose of improving heat transfer would have been obvious to one of ordinary skill in the art.

The recitations of intended fluids, directions and intended manners of operation of the device are not given patentable weight in a claim directed to a heat exchanger apparatus. See MPEP 2114, incorporated here by reference and in particular, Ex parte Masham, 2 USPQ2d 1647 (BPAI 1987). The apparatus does undergo a metamorphosis into a new apparatus merely by affixing instructions to it as to how it will be used.

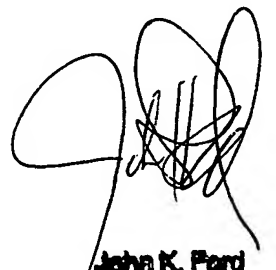
Claims 1, 4 and 5 are rejected under 35 U.S.C. 103(a) as being unpatentable over Makino or Makino/Huggins as applied to claims 1 and 5 above, and further in view of Khelifa (US 2001/0001982) or Ben Fredj (US 6,810,952).

To have made the compound radiator/evaporator 3 of Khelifa in the manner taught by Makino or Makino/Huggins to minimize the use of space in the vehicle would have been obvious to one of ordinary skill in the art. Likewise, to have made the evaporator/radiator (10, 20) of Ben Fredj in the manner taught by Makino or Makino/Huggins to minimize the use of space in the vehicle would have been obvious to one of ordinary skill in the art.

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

Any inquiry concerning this communication should be directed to John K. Ford at telephone number 571-272-4911.



John K. Ford
Primary Examiner